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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8932-320	Total Pages
First Named Inventor or Application Identifier		
Roger Berger		
Express Mail Label No.	N/A	

## APPLICATION ELEMENTS

MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form  
*Submit an original, and a duplicate for fee processing)*
- Specification  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
- Drawing(s) *(35 USC 113)* [Total Sheets 14]
- Oath or Declaration *(unexecuted)* [Total Sheets 2]
  - a.  Newly executed *(original or copy)*
  - b.  Copy from a prior application *(37 CFR 1.63(d))*  
*(for continuation/divisional with Box 17 completed)*  
**[Note Box 5 below]**
  - c.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).*
- Incorporation By Reference *(useable if Box 4b is checked)*  
*The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.*

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program *(Appendix)*
7.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Copy
  - b.  Paper Copy *(identical to computer copy)*
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers *(cover sheet & document(s))*
9.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
10.  English Translation Document *(if applicable)*
11.  Information Disclosure Statement  Copies of IDS Statement (IDS)/PTO-1449 Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard *(MPEP 503)*  
*(Should be specifically itemized)*
14.  Small Entity Statement filed in prior application, Statement(s) Status still proper and desired
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: filed .

## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  20582  
*(Insert Customer No. or Attach bar code label here)* or  Correspondence address below

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

PENNIE & EDMONDS LLP

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ATTORNEY DOCKET NO. 8932-320

Date: February 21, 2001

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Roger BERGER

Executed on: Unexecuted

Title of Invention: OCCIPITAL PLATE AND SYSTEM FOR SPINAL STABILIZATION

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	23	-20	3	\$18.00 each	\$ 54.00
Independent	3	-3	0	\$80.00 each	\$ 0.00
Minimum Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 0.00
<b>Total</b>					\$ 764.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 0.00
<b>Total Filing Fee</b>					\$ 764.00

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Seth A. Watkins  
For: Allan A. Fanucci  
PENNIE & EDMONDS LLP

Reg. No. 47,169  
Reg. No. 30,256

Enclosure